

**Eigenvalues and Partitionings of the Edges of a Graph\***

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**ABSTRACT**

This paper is concerned with the relationship between geometric properties of a graph and the spectrum of its adjacency matrix. For a given graph  $G$ , let  $\alpha(G)$  be the smallest partition of the set of edges such that each corresponding subgraph is a clique,  $\beta(G)$  the smallest partition such that each corresponding graph is a complete multipartite graph, and  $\gamma(G)$  the smallest partition such that each corresponding subgraph is a complete bipartite graph. Lower bounds for  $\alpha$ ,  $\beta$ ,  $\gamma$  are given in terms of the spectrum of the adjacency matrix of  $G$ . Despite these bounds, it is shown that there can exist two graphs,  $G_1$  and  $G_2$ , with identical spectra such that  $\alpha(G_1)$  is small,  $\alpha(G_2)$  is enormous. A similar phenomenon holds for  $\beta(G)$ . By contrast,  $\gamma(G)$  is essentially relevant to the spectrum of  $G$ , for it is shown that  $\gamma(G)$  is bounded by and bounds a function of the number of eigenvalues each of which is at most  $-1$ .

It is also shown that the chromatic number  $\chi(G)$  is spectrally irrelevant in the sense of the results for  $\alpha$  and  $\beta$  described above.

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**1. INTRODUCTION**

Let  $G$  be a graph with  $V(G)$  the set of its vertices,  $E(G)$  the set of its edges. We will assume  $G$  has at least one edge. If  $F \subset E(G)$ ,  $F \neq \phi$ , we will denote by  $G_F$  the subgraph of  $G$  such that  $E(G_F) = F$ ,  $V(G_F)$  = the set of vertices of  $G$  each of which is on at least one edge in  $F$ . In this paper we shall consider partitions  $F_1 \cup \dots \cup F_k$  of  $E(G)$  in which each  $G_{F_i}$  is a graph of a particular class, and consider the relation of the smallest  $k$  for which such a partition of  $E(G)$  exists to the eigenvalues of the adjacency matrix  $A(G)$  of  $G$ . This matrix is a square symmetric  $(0, 1)$  matrix of order  $(V(G))$ , defined by the rule:  $a_{ij} = 1$  if and only if vertices  $i$  and  $j$  are adjacent (note  $a_{ii} = 0$  for all  $i$ ). The eigenvalues of a real symmetric matrix  $A$  of order  $n$  will be denoted by  $\lambda_1(A) \geq \dots \geq \lambda_n(A)$  or by  $\lambda^1(A) \leq \dots \leq \lambda^n(A)$  as convenience dictates. If  $A = A(G)$ , we may write  $\lambda^i(G)$  for  $\lambda^i(A(G))$  or  $\lambda_i(G)$  for  $\lambda_i(A(G))$ .

A graph  $G$  is called a clique if every pair of its vertices is adjacent. If  $V(G)$  can be partitioned into two or more subsets so that each pair of

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vertices in the same subset is not adjacent, but each pair of vertices in different subsets is adjacent,  $G$  is called a complete multipartite graph. Thus a clique is a complete multipartite graph in which each subset of the vertices contains exactly one element. If, for a complete multipartite graph, the number of subsets (parts) of  $V(G)$  is exactly two, the graph is called a complete bipartite graph.

Let  $\alpha(G)$  be the smallest integer  $k$  such that there exists a partition

$$F_1 \cup \cdots \cup F_k = E(G) \quad (1.1)$$

and each  $G_{F_i}$  is a clique. Let  $\beta(G)$  be the smallest integer  $h$  such that (1.1) holds and each  $G_{F_i}$  is a complete multipartite graph. Let  $\gamma(G)$  be the smallest integer  $h$  such that (1.1) holds and each  $G_{F_i}$  is a complete bipartite graph.

In Section 2 we shall derive lower bounds for  $\alpha(G)$ ,  $\beta(G)$ ,  $\gamma(G)$  from the eigenvalues of  $A(G)$ . (The bound for  $\beta(G)$  was previously derived by Ronald Graham and H. S. Witsenhausen.) Somewhat related questions for partitions of  $V(G)$ , especially bounds on the chromatic number  $\chi(G)$  were given in [2] and [3]. In Section 3 we shall show that, despite these bounds, there is no intimate relation between  $\alpha(G)$  or  $\beta(G)$  and the spectrum of  $A(G)$ . Specifically, we shall show that, given any number  $V$ , there exist two graphs  $G_1$  and  $G_2$  such that  $A(G_1)$  and  $A(G_2)$  have the same spectrum,  $\alpha(G_1) = 2$ ,  $\alpha(G_2) > N$ . Similarly, we shall show that, given any number  $N$ , there exist two graphs  $G_1$  and  $G_2$  whose adjacency matrices have the same spectrum,  $\beta(G_1) = 3$ ,  $\alpha(G_2) > N$ .

Our most interesting result, presented in Section 4, is that such a phenomenon cannot hold for  $\gamma(G)$ . We will show that each of the following functions of  $G$  is bound by a function of each of the others:  $\gamma(G)$ , the number of eigenvalues of  $A(G)$  each of which is at most  $-1$ , the number of nonzero eigenvalues of  $A(G)$ , the number of different rows of  $A(G)$ .

Finally, in Section 5, we use this opportunity to point out that the phenomenon described in Section 2 holds also for the chromatic number  $\chi(G)$ , and mixed chromatic number  $\tilde{\chi}(G)$  (to be defined later).

## 2. LOWER BOUNDS FOR $\alpha(G)$ , $\beta(G)$ , $\gamma(G)$

We shall need the Courant-Weyl inequalities: Let  $A$  and  $B$  be real symmetric matrices of order  $n$ .  $C = A + B$ ,  $0 \leq i, j$ ,  $i + j + 1 \leq n$ .

$$\lambda_{i+j+1}(C) \leq \lambda_{i+1}(A) + \lambda_{j+1}(B)$$

and

$$\lambda^{i+j+1}(C) \geq \lambda^{i+1}(A) + \lambda^{j+1}(B).$$

By applying induction, it is easy to derive from the above: If  $A_1, \dots, A_k$  are real symmetric matrices of order  $n$ ,  $k+1 \leq n$ , then

$$\lambda_{k+1} \left( \sum_{i=1}^k A_i \right) \leq \sum_{i=1}^k \lambda_2(A_i), \quad (2.1)$$

$$\lambda^{k+1} \left( \sum_{i=1}^k A_i \right) \geq \sum_{i=1}^k \lambda^2(A_i), \quad (2.2)$$

$$\lambda^1 \left( \sum_{i=1}^k A_i \right) \geq \sum_{i=1}^k \lambda^1(A_i). \quad (2.3)$$

We next note

$$\beta(G) = 1 \quad \text{if and only if} \quad \lambda_2(G) \leq 0, \quad (2.4)$$

$$\gamma(G) = 1 \quad \text{if and only if} \quad \lambda^3(G) \geq 0, \quad (2.5)$$

$$\alpha(G) = 1 \quad \text{if and only if} \quad \lambda_2(G) \leq 0, \quad \lambda^1(G) = -1. \quad (2.6)$$

The necessity part of (2.4)–(2.6) is obvious. The sufficiency part of (2.4) has been shown by Smith [5]. To prove the sufficiency part of (2.6), observe that  $\lambda_2(G) = 0$  implies (by (2.4)) that  $\beta(G) = 1$ . Let  $H$  be the complete multipartite graph found by the edges of  $G$ . All we need show is that, if one of the parts has more than one vertex,  $\lambda^1(H) < -1$ . But, if one of the parts has more than one vertex,  $K_{1,2} \subset H$  implies  $\lambda^1(K_{1,2}) = -\sqrt{2}$ . By the interlacing theorem,  $K_{1,2} \subset H$  implies  $\lambda^1(K_{1,2}) \geq \lambda^1(H)$ .

To prove the sufficiency statement of (2.5), first observe that, if  $C$  is any odd polygon,  $\lambda^2(C) < 0$ . It follows from  $\lambda^2(G) \geq 0$  that  $C$  cannot be an induced subgraph of  $G$ ; hence  $G$  is a bipartite graph (see [1]). We must show that the subgraph  $H$  of  $G$  induced by the nonisolated vertices is a complete bipartite graph. Since  $H$  is bipartite, we have

$$A(H) = \begin{bmatrix} 0 & B \\ B^T & 0 \end{bmatrix},$$

and the eigenvalues of  $A(H)$  are, apart from 0, the singular values of  $BB^T$  and their negatives. Hence,  $\lambda^2(H) \geq 0$  implies that  $BB^T$  has rank one. Since  $B$  is a  $(0, 1)$  matrix,  $BB^T$  is a rank one positive semidefinite matrix in which each diagonal entry is at least as large as any off-diagonal entry in its row. It follows that  $B$  consists entirely of 1's, so  $H$  is a complete bipartite graph.

THEOREM 2.1. For every graph  $G$ ,

$$\beta(G) \geq \text{number of positive eigenvalues of } A(G); \quad (2.7)$$

$$\gamma(G) \geq \text{number of negative eigenvalues of } A(G); \quad (2.8)$$

$$\lambda_1(G) \geq -\alpha(G); \quad (2.9)$$

$$\binom{1 + \alpha\left(\begin{smallmatrix} G \\ \text{or} \\ H \end{smallmatrix}\right)}{2} \geq \text{number of eigenvalues of } A(G) \text{ each of which is} \\ \text{neither 0 nor } -1. \quad (2.10)$$

If  $\beta(G) = \beta$ , there exist graphs  $H_1, \dots, H_\beta$  such that

$$\beta(H_i) = 1, \quad i = 1, \dots, \beta, \quad (2.11)$$

and

$$A(G) = A(H_1) + \dots + A(H_\beta). \quad (2.12)$$

By (2.4), (2.11) implies  $\lambda_2(H_i) \leq 0$ ,  $i = 1, \dots, \beta$ . Hence (2.1), applied to (2.12), shows

$$\lambda_{1+\beta}(G) \leq 0,$$

which means that  $\beta$  is at least the number of positive eigenvalues of  $A(G)$ . This proves (2.7).

The proof of (2.8) is similar, using (2.5) and (2.2). Inequality (2.9) follows from (2.6) and (2.3).

To prove (2.10), let  $H$  be the subgraph of  $G$  formed by the nonisolated vertices of  $G$ . It is clearly sufficient to prove

$$\binom{1 + \alpha\left(\begin{smallmatrix} G \\ \text{or} \\ H \end{smallmatrix}\right)}{2} \geq \text{number of eigenvalues of } A(H) \text{ which are not } -1. \quad (2.13)$$

Let  $\alpha(H) = \alpha$ . Then there exist cliques  $K^1, \dots, K^\alpha$  which partition the edges of  $H$ . Let  $S_i$  be the set of vertices which are in  $K^i$ , but not in  $K^j$ ,  $j = 1, \dots, \alpha$ ,  $j \neq i$ . If  $x, y$  are two vertices in  $S_i$ , then the vector which is  $+1$  at  $x$ ,  $-1$  at  $y$ , and  $0$  at all other vertices of  $H$  is an eigenvector of  $A(H)$  with  $-1$  as corresponding eigenvalue. It follows that  $-1$  is an eigenvalue of  $A(H)$  of multiplicity at least  $\sum_{i=1}^{\alpha} (|S_i| - 1)$ . From the fact that  $|V(K^i) \cap V(K^j)| \leq 1$  for  $i \neq j$ , we have

$$\sum_{i=1}^{\alpha} |S_i| \geq |V(H)| - \binom{\alpha}{2}.$$

Thus, letting  $m$  be the multiplicity of  $-1$  as an eigenvalue of  $A(H)$ , we have

$$m \geq \sum_{i=1}^{\alpha} (|S_i| - 1) = -\alpha + \sum_{i=1}^{\alpha} |S_i| \geq |V(H)| - \binom{1+\alpha}{2},$$

which is a restatement of (2.13).

### 3. ESSENTIAL IRRELEVANCE OF SPECTRUM OF $A(G)$ TO $\alpha(G)$ AND $\beta(G)$

To construct the examples required in this section, we first note without proof the following facts:

If  $K_n$  is a clique on  $n$  vertices,

$$\lambda_1(K_n) = n - 1, \quad \lambda_2(K_n) = \cdots = \lambda_n(K_n) = -1. \quad (3.1)$$

Let  $H_{2n}$  be a graph on  $2n$  vertices,  $n > 2$  constructed as follows: Take two disjoint cliques on  $n$  vertices  $K$  and  $K'$ , and join vertex  $i$  of  $K$  to vertex  $i'$  of  $K'$ , and to no other vertices of  $K'$ .

$$\begin{aligned} \lambda_1(H_{2n}) &= n, & \lambda_2(H_{2n}) &= n - 2, & \lambda_3(H_{2n}) &= \cdots = \lambda_{n+1}(H_{2n}) = 0, \\ \lambda_{n+2}(H_{2n}) &= \cdots = \lambda_{2n}(H_{2n}) = -2. \end{aligned} \quad (3.2)$$

Let  $L_{2n+1}$  be the graph on  $2n + 1$  vertices constructed as follows: Take two disjoint cliques on  $n$  vertices, adjoin one other vertex adjacent to each vertex of each clique.

$$\lambda_2(L_{2n+1}) = n - 1, \quad \lambda_3(L_{2n+1}) = \cdots = \lambda_{2n}(L_{2n+1}) = -1, \quad (3.3)$$

$\lambda_1(L_{2n+1})$  and  $\lambda_{2n+1}(L_{2n+1})$  are the larger and smaller of the eigenvalues of

$$\begin{bmatrix} 0 & 2n \\ 1 & n - 1 \end{bmatrix}. \quad (3.4)$$

Let  $M_{n+2}$  be the graph formed as follows: Take a clique on  $n$  vertices and adjoin two additional vertices, each adjacent to each vertex of the clique, but not adjacent to each other.

$$\lambda_2(M_{n+2}) = 0, \quad \lambda_3(M_{n+2}) = \cdots = \lambda_{n+1}(M_{n+2}) = -1. \quad (3.5)$$

$\lambda_1(M_{n+2})$  and  $\lambda_{n+2}(M_{n+2})$  are the larger and smaller of the eigenvalues of

$$\begin{bmatrix} 0 & n \\ 2 & n-1 \end{bmatrix}. \quad (3.6)$$

Let  $P_{2n}$  be the complete multipartite graph on  $2n$  vertices, in which each part has two vertices.

$$\begin{aligned} \lambda_1(P_{2n}) &= 2n - 2, & \lambda_2(P_{2n}) &= \cdots = \lambda_{n+1}(P_{2n}) = 0, \\ \lambda_{n+2}(P_{2n}) &= \cdots = \lambda_{2n}(P_{2n}) = -2. \end{aligned} \quad (3.7)$$

**THEOREM 3.1.** *Let  $N > 0$  be given. Then there exist graphs  $G_1$  and  $G_2$  such that  $A(G_1)$  and  $A(G_2)$  have the same spectrum,  $\alpha(G_1) = 2$ ,  $\alpha(G_2) > N$ .*

*Proof.* Let  $G_1$  be the graph on  $2n + 2$  vertices formed by the union of  $L_{2n+1}$  and one additional vertex. Let  $G_2$  be the graph on  $2n + 2$  vertices formed by  $M_{n+2}$  and  $K_n$ . Observe that (3.4) and (3.6) have the same eigenvalues. It follows from (3.1), (3.3), and (3.5) that  $A(G_1)$  and  $A(G_2)$  have the same eigenvalues. Now  $\alpha(G_1) = 2$  and  $\alpha(G_2) > \alpha(M_{n+2})$ . Let  $x$  and  $y$  be the adjoined vertices in  $M_{n+2}$ . Let the edges on  $x$  be partitioned so they are contained in  $r$  cliques of size  $m_1, \dots, m_r$ . Then the edges on  $y$  will require at least  $\max_i m_i$  cliques. Since  $\sum M_i = n$ , it follows that  $\alpha(M_{n+2}) > r + n/r \geq 2\sqrt{n}$ . Thus  $\alpha(G_2) > N$  for  $n$  sufficiently large.

**THEOREM 3.2.** *Let  $N > 0$  be given. Then there exist graphs  $G_1$  and  $G_2$  such that  $A(G_1)$  and  $A(G_2)$  have the same spectrum,  $\beta(G_1) = 3$ ,  $\beta(G_2) > N$ .*

*Proof.* Let  $G_1$  be the graph on  $4n$  vertices formed by the disjoint union of  $K_{n+1}$ ,  $K_{n-1}$ , and  $P_{2n}$ . Let  $G_2$  be the graph on  $4n$  vertices formed by the disjoint union of  $H_{2n}$ ,  $K_{2n-1}$ , and one additional vertex. By (3.1), (3.2), and (3.7),  $A(G_1)$  and  $A(G_2)$  have the same spectrum. Now  $\beta(G_1) = 3$ . It is easy to see that, in  $H_{2n}$ , if  $i, j, k$  are different indices, the three edges joining  $i$  and  $i'$ ,  $j$  and  $j'$ ,  $k$  and  $k'$  cannot be present in the same complete multipartite graph. Hence  $\beta(G_2) > \beta(H_{2n}) > n/2 > N$  for  $n$  sufficiently large.

#### 4. ESSENTIAL RELEVANCE OF SPECTRUM OF $A(G)$ TO $\gamma(G)$

**THEOREM 4.1.** *Each of the following functions of  $G$  is bounded by a function of each of the others:  $\gamma(G)$ ,  $a(G) \equiv$  the number of different rows of  $A(G)$ , the number of eigenvalues of  $A(G)$  each of which is at most  $-1$ ,  $\text{rank}(A(G))$ .*

LEMMA 4.1.

$$\gamma(G) \leq a(G) - 1, \quad a(G) \leq 3^{\gamma(G)}. \quad (4.1)$$

*Proof.* Let  $a(G) = a$ ,  $\gamma(G) = \gamma$ . Let  $S_1, \dots, S_a$  be a partition of the vertices of  $G$  such that  $i$  and  $j$  are in the same  $S$  if and only if row  $i$  and row  $j$  of  $A(G)$  are identical. Note that, if  $i, j \in S_k$ , then  $i$  and  $j$  are not adjacent; further, for each  $k, l$ , either every vertex of  $S_k$  is adjacent to every vertex of  $S_l$ , or no vertex of  $S_k$  is adjacent to any vertex of  $S_l$ . Clearly,  $\gamma \leq a - 1$ .

Next, for the  $k$ th complete bipartite graph in the decomposition of  $E(G)$  into  $\gamma$  parts, label one part  $L_k$ , the other part  $R_k$ , and the set of remaining vertices (if any),  $P_k$ . Clearly, row  $i$  and row  $j$  of  $A(G)$  are identical if, for each  $k$ , row  $i$  and row  $j$  have the same label for each  $k$ . It follows that  $a \leq 3^\gamma$ .

*Remark.* Using Lemma 4.1, it is easy to prove the spectral relevance of  $\gamma(G)$ . Let  $r = \text{rank } A(G) = \text{number of nonzero eigenvalues of } A(G)$ . Clearly,  $r \leq a$ . Further, since  $A(G)$  is a  $(0, 1)$  matrix, it follows that  $a \leq 2^r$ . This proof of relevance is, however, less interesting than Theorem 4.1, whose demonstration we now resume.

LEMMA 4.2. Let  $B$  be a square  $(0, 1)$  matrix of order  $3n$ ,  $b(B)$  the number of singular values of  $B$  each of which is at least 1. If

$$B = I, \quad b(B) = 3n, \quad (4.2)$$

$$B = J - I, \quad b(B) = 3n, \quad (4.3)$$

$$b_{ij} = 0 \text{ for } i < j, \quad b_{ij} = 1 \text{ for } i \geq j, \quad b(B) \geq n, \quad (4.4)$$

$$b_{ij} = 0 \text{ for } i > j, \quad b_{ij} = 1 \text{ for } i \leq j, \quad b(B) \geq n. \quad (4.5)$$

*Proof.* The lemma is obvious in cases (4.2) and (4.3), and clearly (4.4) and (4.5) are essentially the same case. So all we need show is that, if  $B$  is lower triangular in the form (4.4), then at least one-third of the eigenvalues of  $BB'$  are at least 1. To show this, we will prove that at least one-third of the eigenvalues of  $(B^{-1})(B^{-1})'$  are at most 1 (they are positive, since  $(B^{-1})(B^{-1})'$  is positive definite).

Let  $B$  have the form (4.4), and let  $C = (B^{-1})(B^{-1})'$ . Then  $c_{ii} = 1$  if  $i = 1$ ,  $c_{ii} = 2$  if  $i > 1$ ,  $c_{i,i+1} = -1$  for  $1 \leq i \leq 3n - 1$ ,  $c_{i-1,i} = 1$  for  $2 \leq i \leq 3n$ , all other  $c_{ij} = 0$ . If we consider the principal submatrix  $D$  of order  $n$  of  $C$  formed by rows  $3i$  and  $3i - 1$  ( $i = 1, \dots, n$ ) and the corresponding columns, then  $D$  is the direct sum of  $n$   $2 \times 2$  matrices

$$\begin{bmatrix} 2 & -1 \\ -1 & 2 \end{bmatrix},$$

each of which has 1 as an eigenvalue. By the interlacing theorem, at least  $n$  of the eigenvalues of  $C$  are at most 1. (One could also have appealed to the relation between  $C$  and the vibrating string problem.)

**LEMMA 4.3.** *Let  $r > 0$  be a given integer. Let  $E$  be a rectangular  $(0, 1)$  matrix with  $3 \cdot 2^{r-1} - 1$  rows, all different. Then it is possible to permute rows and columns of  $E$  so that the first  $2r$  rows and  $r$  columns form a matrix  $F = (f_{ij})$  such that*

$$\begin{aligned} f_{2i-1,i} &= 1, & f_{2i,i} &= 0, & i &= 1, \dots, r, \\ f_{2i-1,j} &= f_{2i,j}, & i &= 1, \dots, r, & j &= 1, \dots, r, & j \neq i. \end{aligned} \quad (4.6)$$

*Proof.* We shall argue by induction. The lemma holds in case  $r = 1$ . If any column of  $E$  can be discarded, yet all rows of the remaining matrix are different, discard that column. Hence we may assume that, if any column of  $E$  is discarded, then at least two rows of the remaining rows of the matrix are the same. In particular, if the first column of  $E$  is removed, then (say) rows 1 and 2 of the remaining matrix are the same. Hence, after permuting, we may assume  $e_{1,1} = 1$ ,  $e_{2,1} = 0$ ,  $e_{1,j} = e_{2,j}$  for all  $j > 1$ . Also, the number of remaining rows of  $E$  is  $3 \cdot 2^{r-1} - 1 - 2 = 2(3 \cdot 2^{r-2} - 1) - 1$ . Hence at least  $3 \cdot 2^{r-2} - 1$  remaining rows of  $E$  have the same entry in the first column. Application of the induction hypothesis to these rows completes the proof of the lemma.

**LEMMA 4.4.** *Let  $m, n$  be given. Then there exists an integer  $R(n, m)$  such that, if the edges of a clique on at least  $R(n, m)$  vertices are colored in  $m$  colors, a subclique on  $n$  vertices has all edges the same color.*

This is Ramsey's theorem. See [4].

**LEMMA 4.5.** *Let  $E$  be a rectangular  $(0, 1)$  matrix with  $b(E) =$  number of singular values of  $E$  which are at least 1. Then the number of different rows of  $E$  is less than*

$$3 \cdot 2^{R(3b(E)+3,4)-1} - 1. \quad (4.7)$$

*Proof.* Assume the contrary. By Lemma 4.3,  $E$  contains a submatrix  $F$  with  $2r$  rows and  $r$  columns of the form (4.6), with  $r = R(3b(E) + 3, 4)$ . By Lemma 4.4 (see [4, p. 45], where precisely this application of Ramsey's theorem is made),  $F$  contains a submatrix of  $3b(E) + 3$  columns and



twice that many rows from which one extracts a square submatrix  $B$  of order  $3b(E) + 3$  of one of the forms (4.2)–(4.5). By Lemma 4.2, the number of singular values of  $B$  each of which is at least one is at least  $b(E) + 1$ . But, if  $B$  is a submatrix of  $E$ ,  $b(B) \leq b(E)$ . Thus we have the contradiction  $b(E) \geq b(B) \geq b(E) + 1$ .

If  $G$  is a graph, its chromatic number  $\chi(G)$  is the smallest number of subsets which form a partition of  $V(G)$  such that any two vertices in the same subset are not adjacent.

LEMMA 4.6. *Let  $e(G)$  = the number of eigenvalues of  $A(G)$  each of which is at most  $-1$ . There exists a function  $h$  such that*

$$\chi(G) \leq h(e(G)). \quad (4.8)$$

This lemma is proved in [2].

LEMMA 4.7. *If  $h$  and  $e(G)$  are defined as in (4.8), then*

$$a(G) < h(e(G))R(3 \cdot 2^{R(3e(G)+3,4)-1} - 1, h[e(G) - 1]). \quad (4.9)$$

*Proof.* Let  $G$  be given. Delete a row of  $G$  (and corresponding column) if it is identical with another row, and repeat. Since  $a(G)$  stays the same and  $e(G)$  does not increase, it is clear that it is sufficient to show (4.9) in the case where all rows of  $A(G)$  are different, which we now assume. By Lemma 4.6,  $V(G)$  can be partitioned into at most  $h(e(G))$  subsets, so that no two in the same subset are adjacent. One of these subsets  $S$  must contain at least  $v = a/h$  vertices, where  $a = a(G)$ ,  $h = h(e(G))$ . Suppose

$$\frac{a}{h} \geq R(3 \cdot 2^{R(3e(G)+3,4)-1} - 1, h - 1). \quad (4.10)$$

We shall show that (4.10) leads to a contradiction, thus proving (4.9).

If (4.10) holds, let us color the edges of the complete graph on  $v$  vertices in at most  $h - 1$  colors as follows: Label the subsets other than  $S$  by  $1, \dots, h - 1$ . If  $i, j \in S$ ,  $i \neq j$ , let  $k$  be the label of the subset of vertices which contains the vertex of smallest index  $t$  such that  $a_{it} \neq a_{jt}$ . By Lemma 4.4,  $A$  contains a submatrix

$$C = \begin{bmatrix} 0 & B \\ B^T & 0 \end{bmatrix}$$

where  $B$  has at least

$$3 \cdot 2^{R(3e(G)+3,4)-1} - 1 \quad (4.11)$$

rows which are all different. Now the nonzero eigenvalues of  $C$  are the singular values of  $B$  and their negatives, as is well known. By the interlacing theorem,  $e(G) = b(B)$ . Thus (4.11) contradicts Lemma 4.5.

The proof of the theorem now follows from Lemma 4.7, and the inequalities given in Theorem 2.1 (Eq. 2.8) and Lemma 4.1,

$$e(G) \leq \gamma(G) < a(G).$$

## 5. ESSENTIAL IRRELEVANCE OF SPECTRUM OF $A(G)$ TO $\chi(G)$ AND $\tilde{\chi}(G)$

Let  $K_{(r)}m$  stand for the complete  $m$ -partite graph in which each part has exactly  $m$  vertices (if  $r = 1$ , we may write instead  $K_m$ ). Let  $k$  be a positive integer. Let  $H_1$  be the graph which is the union of

$$K_{(2^k-1)3} \cup 2K_{(2^k-2)3} \cup \cdots \cup 2^{k-1}K_{(2^0)3}.$$

Let  $H_2$  be the graph which is the union of  $K_{2^{k+1}}$  and

$$2K_{(2^k-1)2} \cup 2^2K_{(2^k-2)2} \cup \cdots \cup 2^{k-1}K_{2^2}.$$

One can calculate that  $H_1$  and  $H_2$  have the same nonzero eigenvalues. Hence, by adding isolated vertices, we obtain cospectral graphs  $G_1$  and  $G_2$ ,  $\chi(G_1) = 3$ ,  $\chi(G_2) = 2^k + 1$ .

The mixed chromatic number  $\tilde{\chi}(G)$  is the smallest number of subsets in a partition of  $V(G)$  such that, for each subset, either every pair of vertices is adjacent or every pair of vertices is not adjacent. Clearly, by taking  $n$  very large,  $nG$  and  $nG_2$  are cospectral,  $\tilde{\chi}(G_1) = 3$ ,  $\tilde{\chi}(G_2) = 2^{k+1}$ .

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